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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/806,878
Filing Date	March 23, 2004
First Named Inventor	Leon M. Clements et al.
Art Unit	3626
Examiner Name	Unassigned
Attorney Docket Number	0771CG.035249

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
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<input type="checkbox"/> Affidavits/declaration(s)
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<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
1. Fee transmittal in duplicate;
2. Fourth Supplemental Information Disclosure Statement;
3. Copies of 37 non-patent references; and
4. Postcard. |
|--|---|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Bracewell & Giuliani LLP (Customer No. 35979)		
Signature			
Printed name	Jeffrey S. Whittle		
Date	12-6-06	Reg. No.	36,382

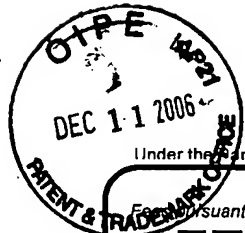
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Signature			
Typed or printed name	Dora Rios	Date	12-6-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fee is pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known

Application Number	10/806,878
Filing Date	March 23, 2004
First Named Inventor	Leon M. Clements, et al.
Examiner Name	Unassigned
Art Unit	3626
Attorney Docket No.	0771CG.035249

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 500259 Deposit Account Name: Bracewell & Giuliani LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	0

2. EXCESS CLAIM FEESFee Description

	<u>Fee (\$)</u>	<u>Small Entity</u> <u>Fee (\$)</u>
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
0	- 20 or HP = 0	x 0 =	0

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
0	- 3 or HP = 0	x 0 =	0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 = 0	/ 50 = 0	(round up to a whole number) x	0 =	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Fourth Supplemental Information Disclosure StatementFees Paid (\$)

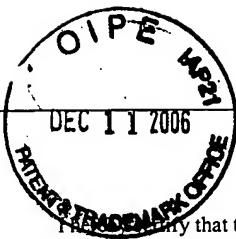
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SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 36,382	Telephone 713-221-1185
Name (Print/Type)	Jeffrey S. White	Date	12-6-06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

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Date: 12-6-2006

By: Dora Rios
Dora Rios

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:
Leon M. Clements, et al.

Filed: March 23, 2004

Application No. 10/806,878

For: PHARMACEUTICAL INVENTORY
AND DISPENSATION COMPUTER
SYSTEM AND METHODS

) Examiner: Unassigned

)

)

)

) Group Art Unit: 3626

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) Attorney Docket No. 0771CG.035249

)

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

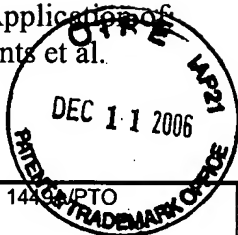
Attached is Form PTO-1449A listing several references for consideration in the examination of the above-titled patent application. Copies of the non-patent literature documents are enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the M.P.E.P.

Applicants are submitting this Fourth Supplemental Information Disclosure Statement before issuance of a first office action and therefore Applicants believe a fee is not required. In the event a fee is required, the Commissioner is authorized to the fees to the deposit account of Bracewell & Giuliani LLP, deposit account no. 50-0259, attorney docket no. 0771CG.035249.

Date: 12-6-06

Respectfully submitted,


Jeffrey S. Whittle
Reg. No. 35,382
BRACEWELL & GIULIANI, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
Telephone: (713) 221-1185
Facsimile: (713) 221-2141



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/806,878
				Filing Date	March 23, 2004
				First Named Inventor	Leon Clements, et al.
				Group Art Unit	3626
				Examiner Name	Unassigned
Sheet	1	Of	3	Attorney Docket Number	0771CG.035249

U.S. PATENT DOCUMENTS				
Exr Initials*	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear
	Number- Kind Code (if known)			
	6,369,847	04-09-2002	James et al.	

FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
	Country Code	Number	Kind Code (if known)		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T ₁
	UTMB Correctional Managed Care uses Cyb-R Care to provide remote physician services across the State of Texas, Booth Presentation, May 10, 2001	Y
	Towards the Electronic Patient Record (TEPR), Using the Electronic Medical Record to Support Telemedicine, May 11, 2001	Y
	Telemedicine, An Introduction, Review, and Considerations, HI 2001, May 16, 2001	Y
	American Telemedicine Association (ATA), PowerPoint Presentation, Fort Lauderdale, Florida June 5, 2001	Y
	Business Computer Applications and the University of Texas Medical Branch Correctional Managed Care welcomes Representatives of the U.S. Federal Bureau of Prisons Washington, D.C., August 14, 2001	Y
	Digital Medical Services Telemedicine/EMR Update, VA-HHS, August 15, 2001	Y
	Digital Medical Services Telemedicine/EMR Update, ACA Philly, August 23, 2001	
	Digital Medical Services Technology Overview, Enron, September 6, 2001	Y
	ALLEN, John, UTMB Correctional Managed Care Private Prison Presentation, www.digitalmedicalsolutions.com , Private Prisons, December 12, 2001	Y
	Using the Emerald Reports Screens, December 19, 2001	Y
	UTMB Electronic Medical Records and Telemedicine in the Correctional Environment, www.digitalmedicalsolutions.com , American Correctional Association, Workshop A-2, San Antonio, January 12, 2002	Y
	Same as above - Pfizer 2002, 1/13/02	Y
	UTMB State of the Art Telemedicine and Electronic Medical Records in the Corrections, www.digitalmedicalsolutions.com , American Correctional Health Services Association (ACHSA), Portland, Oregon, March 16, 2002	Y
	UTMB Digital Medical Services, Overview, Federal Bureau of Prisons Visitors, www.digitalmedicalsolutions.com , March 27, 2002	Y
	UTMB Digital Medical provides remote physician services across the state of Texas, Digital Medical Services (DMS) Booth 2, May 14, 2002	Y
	Towards the Electronic Patient Record (TEPR), UTMB Correctional Managed Care Information Services, Design and Performance of the UTMB CMC Statewide EMR System, May 14, 2002	Y
	UTMB Correctional Managed Care Uses +DMS to provide remote physician service across the state of TX, June 3, 2002	
	UTMB Correctional Managed Care Information Services, Future Management of EMR Implementation and Development, A Proposal to CMC Administration, Summer Quarterly Management Meeting, Open Gates, Galveston, Texas, July 10, 2002	Y
	UTMB Correctional Managed Care Information Services, The Primary Care Studio in Galveston, Texas, Administration Systems, August 14, 2002	Y
	UTMB Correctional Managed Care Information Services, Optometric Education of the Future TELEMEDICINE, American Society of Clinical Oncology (ASCO) Telemed, October 4, 2002	Y
	UTMB Correctional Managed Care Information Services, A Common Electronic Medical Record for TDCJ Unit Clinics, Tech Lubbock, October 18, 2002	Y
	UTMB Correctional Managed Care Uses +DMS to provide remote physician service across the state of TX, +DMS Booth Presentation LMC, October 27, 2002	Y
	UTMB Correctional Managed Care Uses +DMS to provide remote physician service across the	Y

	state of TX, www.digitalmedicalservices.com , +DMS Booth, January 29, 2003	
	UTMB Correctional Managed Care (CMC) Electronic Medical Record (EMR) and Digital Medical Services (DMS), Dallas County Commissioners, March 26, 2003	Y
	UTMB Correctional Managed Care Uses +DMS to provide remote physician service across the state of TX, www.digitalmedicalservices.com , +DMS Booth, August 12, 2003	Y
	UTMB Correctional Managed Care (CMC) Information Systems, Electronic Medical Record (EMR) and Digital Medical Services (DMS), www.digitalmedicalservices.com , Centers for Disease Control (CDC) Business Computer Applications (BCA), September 9, 2003	Y
	UTMB Correctional Managed Care (CMC) Information Systems, Real IP Telemedicine: A Sustained Model, www.digitalmedicalservices.com , Polycom TM, October 7, 2003	Y
	UTMB Correctional Managed Care (CMC) Information Systems, The Role of the Community Physician in the Evolving Landscape of e-Health or How We Fit Primary Care Services into an Active, Sustained Telemedicine Practice, www.digitalmedicalservices.com , November 10, 2003	Y
	UTMB Telemedicine in Managed Care, www.digitalmedicalservices.com , 11/11/03	Y
	Electronic Medical Records (EMR) Leadership Retreat, Texas Tech Kickoff Leadership, Huntsville, Texas, December 16, 2003	Y
	Studio Spinners, April 10, 2004	Y
	UTMB Correctional Managed Care (CMC) Information Systems, Digital Medical Systems (DMS) Cardiology, American Telemedicine Association (ATA) Cardio, April 16, 2004	Y
	UTMB Correctional Managed Care (CMC) Information Systems, Telemedicine (TM) Nuts and Bolts, Correctional Health Long Course, American Telemedicine Association (ATA) May 2, 2004	
	The University of Texas Medical Branch v. Emtel, Inc., Civil Action H-03-0889, Complaint for Declaratory Judgment, filed March 11, 2003, United States District Court, Southern District of Texas, Houston Division	
	UTMB, The University of Texas Medical Branch, Telemedicine, Digital Medical Services, and The UTMB Electronic Health Network presentation, March 2005	
	UTMB, Electronic Health Network, Remote Physician Services Telemedicine Proposal, July 14, 2005	
	Letter dated September 13, 2002, from Emtel, Inc. to UTMB and P&O Cruise Lines, regarding U.S. Patent 6,369,847	
Examiner Signature		Date Considered

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